

## Electronic Patent Application Fee Transmittal

|   |                                 |                 |               |                             |
|---|---------------------------------|-----------------|---------------|-----------------------------|
| <b>Application Number:</b>                  | 10801238                        |                 |               |                             |
| <b>Filing Date:</b>                         | 15-Mar-2004                     |                 |               |                             |
| <b>Title of Invention:</b>                  | Public offering risk management |                 |               |                             |
| <b>First Named Inventor/Applicant Name:</b> | David Lawrence                  |                 |               |                             |
| <b>Filer:</b>                               | Nathaniel Levin/Edith Martin    |                 |               |                             |
| <b>Attorney Docket Number:</b>              | G08.147/U                       |                 |               |                             |
| Filed as Large Entity                       |                                 |                 |               |                             |
| <b>Utility      Filing Fees</b>             |                                 |                 |               |                             |
| <b>Description</b>                          | <b>Fee Code</b>                 | <b>Quantity</b> | <b>Amount</b> | <b>Sub-Total in USD(\$)</b> |
| <b>Basic Filing:</b>                        |                                 |                 |               |                             |
| <b>Pages:</b>                               |                                 |                 |               |                             |
| <b>Claims:</b>                              |                                 |                 |               |                             |
| <b>Miscellaneous-Filing:</b>                |                                 |                 |               |                             |
| <b>Petition:</b>                            |                                 |                 |               |                             |
| <b>Patent-Appeals-and-Interference:</b>     |                                 |                 |               |                             |
| <b>Post-Allowance-and-Post-Issuance:</b>    |                                 |                 |               |                             |
| Statutory disclaimer                        | 1814                            | 1               | 130           | 130                         |
| <b>Extension-of-Time:</b>                   |                                 |                 |               |                             |

| Description       | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|-------------------|----------|----------|--------|----------------------|
| Miscellaneous:    |          |          |        |                      |
| Total in USD (\$) |          |          |        | 130                  |